

SEP. 17. 2004 2:48PM

RIPLO 571-434-9499

NO. 4226 P. 1  
**RECEIVED**  
CENTRAL FAX CENTER  
SEP 17 2004



**ROBINSON INTELLECTUAL PROPERTY LAW OFFICE**

PATENTS · TRADEMARKS · COPYRIGHTS

PMB 955  
21010 Southbank Street  
Potomac Falls, Virginia 20165

September 17, 2004

571-434-6789 (Voice)  
571-434-9499 (G3 Facsimile)  
[erobinson@riplo.com](mailto:erobinson@riplo.com)  
[www.riplo.com](http://www.riplo.com)

**FACSIMILE COVER SHEET**

To:	U.S. Patent and Trademark Office	From:	Eric J. Robinson
Facsimile Number:	703-872-9306	Telephone No.	
Number of Pages (including cover sheet):	37		
Re: U.S. Patent Application Serial No. 09/266,012 For: Thin Film Transistor, Organic Electroluminescence Display Device and Manufacturing Method of the Same Our Reference: 0756-1947			

Attorney Docket No. 0756-1947

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: ) Group Art Unit: 2815  
Yukio YAMAUCHI et al. ) Examiner: N. Richards  
Serial No. 09/266,012 )  
Filed: March 11, 1999 )  
For: THIN FILM TRANSISTOR, ORGANIC )  
ELECTROLUMINESCENCE )  
DISPLAY DEVICE AND )  
MANUFACTURING METHOD OF )  
THE SAME )

**CERTIFICATION OF FACSIMILE TRANSMISSION**

Honorable Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that the items listed below are being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

1. Transmittal Form (1 page)
2. Supplemental Information Disclosure Statement/PTO 1449 Form (3 pages)
3. JP 03-108767 and JP 06-325869 (32 pages)

TOTAL PAGES: 36

Eric J. Robinson, Esquire  
Name of Person Signing Certification

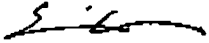
  
Signature

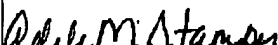
September 17, 2004  
Date

PTO/SB/21 (08-00)

<b>TRANSMITTAL FORM</b>  <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/266,012
	Filing Date	March 11, 1999
	First Named Inventor	Yukio YAMAUCHI et al.
	Group Art Unit	2815
	Examiner Name	N. Richards
Total Number of Pages in This Submission	Attorney Docket Number	0756-1947

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Declaration and Power of Attorney <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosures 1. 2. 3. 4. 5. 6.
Remarks <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 50-2280 for the above identified docket number.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Eric J. Robinson, Reg. No. 38,285 Robinson Intellectual Property Law Office, P.C. PMB 955 21010 Southbank Street Potomac Falls, VA 20165
Signature	
Date	September 17, 2004

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being transmitted via facsimile to 703-872-9308.			
Type or printed name	Adele M. Stamper		
Signature		Date	September 17, 2004

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

SEP 17 2004

Attorney Docket No. 0756-1947

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:	)	Group Art Unit: 2815
Yukio YAMAUCHI et al.	)	Examiner: N. Richards
Serial No. 09/266,012	)	
Filed: March 11, 1999	)	
For: THIN FILM TRANSISTOR, ORGANIC	)	
ELECTROLUMINESCENCE	)	
DISPLAY DEVICE AND	)	
MANUFACTURING METHOD OF	)	
THE SAME	)	

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Honorable Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing information known to Applicant and requests that this information be made of record in the above identified application. Copies are submitted herewith in accordance with 37 C.F.R. 1.98(a).

Further to the Information Disclosure Statement filed on September 3, 2004, Applicant submits herewith full English translations of JP 03-108767 and JP 06-325869. Applicant respectfully requests that the Examiner provide an initialed copy of the Form PTO-1449 evidencing consideration of this Supplemental Information Disclosure Statement.

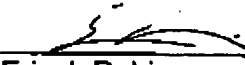
The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be

- 2 -

Application Serial No. 09/266,012  
Attorney Docket No. 0756-1947

required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280.  
A duplicate copy of this sheet is attached.

Respectfully submitted,

  
\_\_\_\_\_  
Eric J. Robinson  
Reg. No. 38,285

Robinson Intellectual Property Law Office, P.C.  
PMB 955  
21010 Southbank Street  
Potomac Falls, Virginia 20165  
(571) 434-6789

Please type a plus sign (+) inside this box → [+]

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0851-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		<b>Complete if Known</b>	
		Application Number	09/266,012
		Filing Date	March 11, 1999
		First Named Inventor	Yukio YAMAUCHI et al.
		Group Art Unit	2815
		Examiner Name	N. Richards
		Attorney Docket Number	0756-1947
Sheet	1	of	1

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			

FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Office <sup>4</sup>	Number <sup>4</sup>	Kind Code <sup>2</sup> (if known)				
		JP	03-108767			05/08/1991		Full.
		JP	06-325869			11/25/1994		Full

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume/issue number(s), publisher, city and/or country where published.	T <sup>3</sup>

Examiner Signature	Date Considered
-----------------------	--------------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.